

EXHIBIT A

SECURITIES INVESTOR PROTECTION CORPORATION

Irving H. Picard, Trustee for the Liquidation of Bernard L. Madoff Investment Securities, LLC

Period Ended September 30, 2019

Report No. 130

CASH RECEIPTS:

General Cash Receipts	Net Change for Period	Prior Period Cumulative	Total Received	Customer Fund	Cumulative Detail General Estate	SIPC	Code
Beginning Cash Balance	\$19,079,090.31						
Transfer from Debtor's Estate - Securities	0.00	289,841,911.70	289,841,911.70	289,841,911.70			4011
Transfers from Debtor's Estate - BNY Account	0.00	336,660,934.06	336,660,934.06	336,660,934.06			4014
Transfers from Debtor's Estate - Chase Account	0.00	235,156,309.36	235,156,309.36	235,156,309.36			4016
Transfers from Debtor's Estate - Other	0.00	4,036,145.08	4,036,145.08	4,036,145.08			4018
Interest and Dividends	0.00	1,843,180.59	1,843,180.59	1,843,180.59			4040
Closout Proceeds - Broker Dealers	0.00	37,316,297.78	37,316,297.78	37,316,297.78			4030
Closout Proceeds - NSCC	0.00	21,783,082.40	21,783,082.40	21,783,082.40			4031
Closout Proceeds - DTCC	0.00	18,001,787.96	18,001,787.96	18,001,787.96			4032
Sale of Debtor's Assets	0.00	47.26	47.26	47.26			4070
- Sports Tickets	0.00	91,037.20	91,037.20	91,037.20			4071
- Bank Debt Participations	0.00	7,959,450.94	7,959,450.94	7,959,450.94			4072
- DTCC Shares	0.00	204,170.51	204,170.51	204,170.51			4073
- Market Making Business	0.00	1,419,801.63	1,419,801.63	1,419,801.63			4075
- Abtech	0.00	795,000.00	795,000.00	795,000.00			4076
- NSX Shares	0.00	100,734.60	100,734.60	100,734.60			4077
- BLM Air Charter	0.00	6,494,631.95	6,494,631.95	6,494,631.95			4074
- Auction Sales	0.00	644,579.15	644,579.15	644,579.15			4078
- Other	0.00	11,428.57	11,428.57	11,428.57			4079
Administrative Substant Rent Revenue	0.00	531,078.49	531,078.49	531,078.49			4111
Adjusting Administrative Substant Rent Revenue	0.00	(531,078.49)	(531,078.49)	(531,078.49)			4111a
Refunds - Deposits	0.00	9,841.45	9,841.45	9,841.45			4091
- Dues/Subscriptions	0.00	177,247.15	177,247.15	177,247.15			4092
- Car Registrations	0.00	157.00	157.00	157.00			4093
- Vendors	0.00	62,451.27	62,451.27	62,451.27			4094
- Transit Cards	0.00	833.61	833.61	833.61			4095
- Insurance/Workers Comp	0.00	442,311.56	442,311.56	442,311.56			4096
- Ref. - Political Contributions	0.00	144,500.00	144,500.00	144,500.00			4097
- Refunds Other	0.00	50.84	50.84	50.84			4099
Recoveries - Customer Avoidances	0.00	112,392,379.79	112,392,379.79	112,392,379.79			4020
- Pre-Litigation Settlements	0.00	1,903,783,597.98	1,903,783,597.98	1,903,783,597.98			4021
- Litigation Settlements	1,162,770.50	10,330,561,559.54	10,331,724,130.04	10,331,724,130.04			4022
- Donation Settlements	0.00	875,000.00	875,000.00	875,000.00			4023
- Vendor Preferences	0.00	809,850.39	809,850.39	809,850.39			4024
- MSIL Liquidation	0.00	1,034,311.82	1,034,311.82	1,034,311.82			4025
- Employees	0.00	10,674.74	10,674.74	10,674.74			4102
- Taxing Authorities	0.00	12,777.56	12,777.56	12,777.56			4103
- Class Actions	0.00	2,648,501.82	2,648,501.82	2,648,501.82			4104
- NASDAQ	0.00	308,948.49	308,948.49	308,948.49			4105
- NYSE	0.00	183,683.79	183,683.79	183,683.79			4106
- Transaction Fees	0.00	96,816.23	96,816.23	96,816.23			4107
- Other	0.00	796,298.73	796,298.73	796,298.73			4109
Miscellaneous	0.00	0.36	0.36	0.36			4110
Earnings on Trustee's Investments	1,823,562.38	98,714,349.64	100,537,912.02	100,537,912.02			4120
Interest on Trustee's Savings Accounts	236,396.77	6,940,039.88	7,176,436.65	7,176,436.65			4140
	\$3,222,729.65	\$13,422,569,514.38	\$13,425,589,244.03	\$13,425,589,244.03			
Administration - Advances	18,208,594.84	1,821,821,260.37	1,840,029,855.21	1,840,029,855.21			2901
Securities - Paid Bank Loans	0.00	0.00	0.00	0.00			2921
- Cash in Lieu	0.00	845,804,334.97	845,804,334.97	845,804,334.97			2922
Sub-total SIPC Advances	\$18,208,594.84	\$2,667,625,595.34	\$2,685,834,190.18	\$2,685,834,190.18			
Funds Transferred from Investment Accounts *See Note (2) on Page 3	0.00	11,296,328,306.41	11,296,328,306.41				1901
Total Cash Receipts	\$21,431,324.49	\$27,386,320,416.13	\$27,407,751,740.62	\$13,425,589,244.03	\$0.00	\$2,685,834,190.18	

Period Ended September 30, 2019

Report No. 130

CASH DISBURSEMENTS:

Administrative Disbursements

General Administrative Disbursements

	Net Change for Period	Prior Period Cumulative	Cumulative Total Paid	Code
Computer - Rental	0.00	11,121.59	11,121.59	5011
- Software Support	0.00	55,159.20	55,159.20	5012
- Equipment Leases	0.00	204,159.01	204,159.01	5013
Employee Related - Salaries-Net	0.00	4,361,844.80	4,361,844.80	5020
- FICA-Employer	0.00	318,550.60	318,550.60	5021
- Fed. & St. Unemploy.	0.00	4,296.08	4,296.08	5023
- Temporary Help	0.00	29,612.50	29,612.50	5024
- Employee Medical Plan	0.00	830,103.99	830,103.99	5025
- Employee LTD	0.00	6,887.03	6,887.03	5026
- Employee Expense Reimbursement	0.00	1,125.87	1,125.87	5027
- Employee Life/AD&D	0.00	9,006.83	9,006.83	5028
- Other	0.00	1,622.90	1,622.90	5029
Insurance - Trustee Bond	0.00	6,000.00	6,000.00	5030
Insurance - Surety & Fidelity Bonds	0.00	37,400.00	37,400.00	5031
Insurance Workers Comp	0.00	12,578.00	12,578.00	5032
- Other	0.00	49,450.20	49,450.20	5039
Fees - Payroll Processing	0.00	8,195.96	8,195.96	5045
Fees - Escrow	0.00	1,221,698.85	1,221,698.85	5046
- Other	0.00	24,168.64	24,168.64	5047
Expenses for Asset Sales	0.00	48,429.09	48,429.09	5048
Rent - Office	0.00	3,987,347.17	3,987,347.17	5050
- Adjustment for Administrative Subtenant Rent Revenue	0.00	(531,078.49)	(531,078.49)	5050a
- Equipment	0.00	1,695.89	1,695.89	5051
- Warehouse	18,874.81	1,917,006.94	1,935,881.75	5052
- Bulova	0.00	310,130.75	310,130.75	5053
- Other	0.00	63,185.27	63,185.27	5059
Costs - Vacating 885 Third Avenue	0.00	20,179.46	20,179.46	5111
Telephone and Telegraph	0.00	360,456.68	360,456.68	5060
Communication Fees	0.00	670,057.02	670,057.02	5061
Utilities - Electricity	496.70	48,795.41	49,292.11	5070
Office Supplies & Expense - Maint. & Repairs	0.00	79,338.86	79,338.86	5080
- Moving & Storage	3,371.16	462,791.40	466,162.56	5081
- Postage/Handling/Preparation	0.00	40,961.12	40,961.12	5082
- Reproduction	0.00	183,889.65	183,889.65	5083
- Locksmith	0.00	5,811.39	5,811.39	5084
- Security	0.00	249,897.70	249,897.70	5085
- Supplies	0.00	3,865.31	3,865.31	5086
- Temporary Help	0.00	4,588,642.69	4,588,642.69	5087
- Process Server - Complaint	0.00	244,026.52	244,026.52	5088
- Other	0.00	36,250.63	36,250.63	5089
Taxes	0.00	555.51	555.51	5090
NYC Commercial Rent Tax	0.00	154,269.47	154,269.47	5091
Claims Related Costs - Mailing Costs	0.00	23,053.28	23,053.28	5101
- Publication	0.00	163,961.13	163,961.13	5102
- Supplies	0.00	16,244.58	16,244.58	5103
- Printing	0.00	2,207.42	2,207.42	5104
Court Related Noticing - Postage/Handling/Preparation *See Note (1) Below	0.00	0.00	0.00	5106
- Reproduction	0.00	0.00	0.00	5107
- Supplies	0.00	0.00	0.00	5108
Scanning - Investigation	0.00	5,189,846.75	5,189,846.75	5110
Foreign Research	0.00	38,975.00	38,975.00	5112
Miscellaneous	0.00	666.91	666.91	5115
Hosting Expense	15,176.00	49,738,867.12	49,754,043.12	5244
Sub-total General Admin. Disbursements	\$37,918.67	\$75,313,309.68	\$75,351,228.35	
Professional Fees and Expenses				
Trustee Fees	0.00	4,377,662.10	4,377,662.10	5200
Trustee Expenses	0.00	2,549.25	2,549.25	5201
Trustee Counsel Fees (Baker)	16,836,712.80	1,115,337,968.32	1,132,174,681.12	5210
Trustee Counsel Expenses (Baker)	90,553.93	18,127,848.33	18,218,402.26	5211
Trustee Counsel Fees (Windels)	2,345,873.01	62,810,113.23	65,155,986.24	5212
Trustee Counsel Expenses (Windels)	15,953.46	683,395.70	699,349.16	5213
Special Counsel Fees	2,692,782.87	87,806,015.76	90,498,798.63	5220
Special Counsel Expenses	36,219.72	14,710,549.34	14,746,769.06	5221
Accountant Fees	0.00	0.00	0.00	5230
Accountant Expenses	0.00	0.00	0.00	5231
Consultant Fees	1,397,703.44	435,815,265.08	437,212,968.52	5240
Consultant Expenses *See Note (1) Below	128,988.88	19,412,382.55	19,541,371.43	5241
Investment Banker Fees	0.00	1,050,000.00	1,050,000.00	5242
Sales Tax	0.00	1,666,952.45	1,666,952.45	5243
Mediator Fees	5,000.00	4,167,785.86	4,172,785.86	5245
Mediator Expenses	0.00	14,033.44	14,033.44	5246
Receiver Counsel Fees	0.00	300,000.00	300,000.00	5260
Receiver Counsel Expenses	0.00	6,449.08	6,449.08	5261
Receiver's Consultants Fees	0.00	316,000.00	316,000.00	5262
Receiver's Consultants Expenses	0.00	15,000.00	15,000.00	5263
Sub-total Professional Fees and Expenses	\$23,549,788.11	\$1,766,619,978.49	\$1,790,169,758.60	
Total Administrative Disbursements	\$23,587,706.78	\$1,841,933,280.17	\$1,865,520,986.95	

Report No. 130

Period Ended September 30, 2019

CASH DISBURSEMENTS:

Claim Related Disbursements

	Net Change for Period	Prior Period Cumulative	Total Paid	Customer Fund	General Estate	SIPC	Code
Customer - Paid Bank Loan							6021
- Securities - Cash in Lieu	0.00	12,379,289,949.25	12,379,289,949.25	11,533,485,614.28		845,804,334.97	6022
- Securities - Purchases							6023
- Indemnification							6031
- Cash Balance							6041
Customer -							6050
Customer -							6060
Customer - Trustee Journal Entry							6000
per Allocation							6111
Other - Contractual Commitments							6121
- Pd. Bank Loan							6131
- Indemnification							6140
Other -							6150
Other -							6160
Other - Trustee Journal Entry							6100
per Allocation							6200
General Creditor							
Sub-total Claim Disbursements	\$0.00	\$12,379,289,949.25	\$12,379,289,949.25	\$11,533,485,614.28	\$0.00	\$845,804,334.97	

Other Disbursements (except investments)

SIPC - Refunds - Recoupment							6301
- Indemnification							6310
- Contr. Commitments							6311
- Paid Bank Loan							6321
- Subrogation	0.00	222,868,311.91	222,868,311.91	222,868,311.91			6322
Other -							6400
Other -							6401
Other -							6402
Other -							6403
Other -							6404

Sub-total Other Disbursements

	\$0.00	\$222,868,311.91	\$222,868,311.91	\$222,868,311.91	\$0.00	\$0.00	1900
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Investments by Trustee - Purchases *See Note (2) Below

	\$2,659,959.15	\$12,923,149,784.49	\$12,925,209,743.64				
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Sub-total Administrative Disb. - page 2

	\$23,587,706.78	\$1,841,933,280.17	\$1,865,520,986.95	\$0.00	\$0.00	\$1,865,520,986.95	
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Total Disbursements

	\$25,647,665.93	\$27,367,241,325.82	\$27,397,888,991.75	\$11,756,353,926.19	\$0.00	\$2,711,325,321.92	
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Total Receipts less Disbursements

	(\$4,216,341.44)	\$19,079,090.31	\$14,862,748.87	\$1,669,235,317.84	\$0.00	(\$25,491,131.74)	
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Ending Cash Balance *See Note (3) Below

	\$14,862,748.87						
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* Note (2) Two preferred custody accounts and an insured money market account have been established at Citibank for investment purposes and additional investment accounts are maintained at JP Morgan Chase and Goldman Sachs. The Goldman Sachs Account was established in December 2016 in connection with the Chais Settlement. A Broker's account, which was previously established at Morgan Joseph, was closed in January 2012. Since January 20, 2009, \$12,925,209,743.64 of recovered funds have been transferred into these investment accounts and \$11,296,328,306.41 of these funds have subsequently been used for interim distributions to customers with allowed claims and for operations. (See Page 5 for more details).

* Note (3) The ending cash balance includes a \$ 14,146,058.40 balance in the Citibank Business Checking Account and \$ 716,690.47 in the Citibank Distribution Account.

Period Ended September 30, 2019

Report No. 130

SUMMARY INFORMATION ON STATUS OF LIQUIDATION

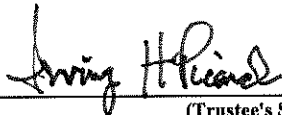
	Customer Claimants	Broker/Dealer Claimants	General Estate Claimants
Claims received	<u>16,521</u>	<u>49</u>	<u>95</u>
Claims satisfied by distribution of cash and/or securities:			
a. As part of the transfer in bulk			
b. On an account by account basis-Fully Satisfied	1,649		
c. On an account by account basis-Partially Satisfied	990		
	<u>2,639</u>	<u>-</u>	<u>-</u>
Claims Determined - no claims	12		
Claims Deemed Determined - pending litigation	23		
Claims Determined - withdrawn	417		
Claims Determined but not yet satisfied	2		
Claims under review		49	95
Claims Denied:			
a. Other Denials for which no objections were filed	10,208		
b. Denials for which objections were filed:			
- Trustee's Determinations Affirmed	2,905		
- Hearing not yet set	315		
- Set for Hearing	-		
	<u>13,882</u>	<u>49</u>	<u>95</u>

Filing Date Value

Customer name securities distributed

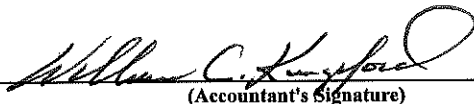
Customer fund securities distributed

\$



(Trustee's Signature)

10/16/2019
(Date)



(Accountant's Signature)

10/15/2019
(Date)

Report No. 139

IRVING H. PICARD, TRUSTEE FOR THE LIQUIDATION OF ELMIS, LLC
Investment Accounts

Period Ended September 30, 2019

	Citibank Preferred Custody Account-Original Account				Account Balance	Cash/Mutual Funds (\$)	Account Balance (\$)	Total Citibank
	Cash Assets/Mutual Funds (\$)	U.S. Treasury Bills (4)	U.S. Treasury Notes (4)	Equities (5)				
Balance August 31, 2019	14,857,525	233,886,334	-	25,150	248,769,809	148,746,428	442,372,021	839,887,458
Maturing of U.S. Treasury Bills	94,341,626	(94,341,626)	-	-	-	-	-	-
Purchase of U.S. Treasury Bills	(219,415,674)	219,415,674	-	-	-	-	-	-
Sales of Equity Securities	-	-	-	-	-	-	-	-
Realized Gains(Losses)	-	-	-	-	-	-	-	-
Unrealized Gain or (Loss)	-	360,254	-	-	360,254	-	-	360,254
Interest and Dividends Earned	99,524	-	5,882	-	105,406	1,019	236,396	342,821
Interest Dividends	-	-	-	-	-	-	-	-
Transfer of Funds from an Escrow Account	-	-	-	-	-	-	-	-
Transfer of Funds from the Citibank Custody Account #2	148,747,447	-	-	-	148,747,447	(148,747,447)	-	-
Balance September 30, 2019	38,630,448	359,320,636	-	31,032	397,982,116	-	442,608,417	840,590,533
	JP Morgan Chase			Neuberger Berman		Goldman Sachs		Account Balance
	Cash/Escrow Fund (\$)	U.S. Treasury Bills (4)	Account Balance	Alternative Investments (\$)	Account Balance	Cash Assets/Mutual Funds (\$)	Alternative Investments (\$)	
Balance August 31, 2019	8,808	766,039,678	766,039,486	193,163	193,163	18,117,138	5,116,631	23,233,769
Maturing of U.S. Treasury Bills	221,434,877	(221,434,877)	-	-	-	-	-	-
Purchase of U.S. Treasury Bills	(221,545,449)	221,545,449	-	-	-	-	-	-
Sale of Securities	-	-	-	-	-	-	-	-
Distributions Received	-	-	-	-	-	192,339	(192,339)	-
Taxes Withheld on Distributions	-	-	-	-	-	-	(2,741)	(2,741)
Unrealized Gain or (Loss)	-	1,182,728	1,182,728	-	-	(1,124)	(542)	(1,666)
Realized Gain on the Sale of Securities	-	-	-	-	-	-	-	-
Interest and Dividends Earned	104,126	-	104,126	-	-	32,102	-	32,102
Transfer of Securities from the Citibank Operating Account	-	-	-	-	-	-	-	-
Balance September 30, 2019	2,362	767,223,778	767,326,340	193,163	193,163	18,340,455	4,921,009	23,261,464

Page 5

* Note (4) The summation of U.S. Treasury Bills and Notes is \$ 1,126,675,646.

* Note (5) The summation of these short-term investments, money market funds, MMA, certificates of deposit, mutual fund accounts, accrued interest and other investments, including alternative investments received in connection with the Chais Settlement is \$ 504,695,854.

Period Ended September 30, 2019

Report No. 130

IRVING H. PICARD, TRUSTEE FOR THE LIQUIDATION OF BLMIS, LLC
Consultant Expenses for Court Related Noticing and Interim Distributions

	Net Change for Period	Prior Period Cumulative	Cumulative Total Paid
Postage / Handling / Preparation	0.00	539,518.90	539,518.90
Printing	0.00	44,945.40	44,945.40
Reproduction Costs	0.00	762,418.30	762,418.30
Supplies	0.00	98,493.66	98,493.66
Total *See Note Below	<u>\$0.00</u>	<u>\$1,445,376.26</u>	<u>\$1,445,376.26</u>

Page 6

*Note: All of the expenses above were incurred by consultants in connection with court related noticing procedures and Interim Distributions, which are included in the Consultant Expenses line (Account #5241) on Page 2 of the SIPC Form 17.